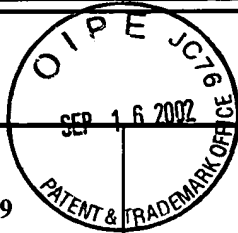


#4

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. *W. Lander*  
5263 *9/19/02*

In Re Application Of: **Hendricks, John**



Serial No. 09/335,268	Filing Date June 17, 1999	Examiner N/A	Group Art Unit 2711
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Title: **Electronic Book with Information Manipulation Features**

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

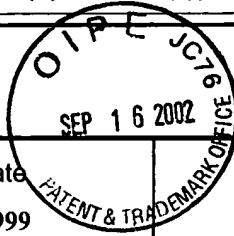
**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- the statement specified in 37 CFR 1.97(e);
- OR**
- the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5263

In Re Application: Hendricks, John



Serial No.  
09/335,268

Filing Date  
June 17, 1999

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Electronic Book with Information Manipulation Features

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

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I certify that this document and fee is being deposited _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
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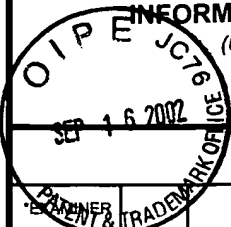
\*This certificate may only be used if paying by deposit account.

Signature

Dated: September 16, 2002

Sung Kim  
Reg. No. 45,398  
DORSEY & WHITNEY LLP  
1660 International Drive  
Suite 400  
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(703) 288-5240  
(703) 288-5260 Facsimile

cc:



**INFORMATION DISCLOSURE CITATION**

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June 17, 1999

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211 2178

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
						YES	NO
	4,985,697	1/15/1991	Boulton	707	500.1		
	5,799,157	8/25/1998	Escallon	705	27		
	6,052,717	4/18/2000	Reynolds, et al.	709	218		
	4,855,725	8/8/1989	Fernandez	345	175		
	5,144,663	9/1/1992	Kudelski, et al.	380	230		
	5,986,690	11/16/1999	Hendricks	725	60		
	6,034,680	3/17/2000	Kessenich	345	733		
	5,093,718	3/3/1992	Hoarty, et al.	725	120		
	5,404,393	4/4/1995	Remillard	379	93.25		
	5,479,268	12/26/1995	Young, et al.	386	83		
	5,319,455	6/7/1994	Hoarty, et al.	725	34		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/23819	7/3/1997	WIPO				
	WO 97/22110	6/19/1997	WIPO				
	WO 97/22067	6/19/1997	WIPO				
	WO 97/22065	6/19/1997	WIPO				
	WO 97/22102	6/19/1997	WIPO				

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

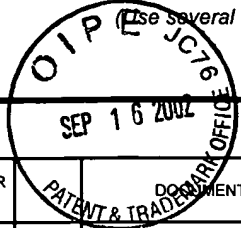
	Caitlin Bestler, "Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications", June 1993, Pages 223-236
	Alexander Gelman, et al., "An Architecture For Interactive Applications", May 1993, Pages 848-852

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	5,014,125	5/7/1991	Pocock, et al.	725	93	
	5,481,296	1/2/1996	Cragun, et al.	725	136	
	5,644,354	7/1/1997	Thompson, et al.	725	138	
	5,724,091	3/3/1998	Freeman, et al.	725	138	
	5,663,757	9/2/1997	Morales	725	5	
	5,793,414	8/11/1998	Shaffer	725	133	
	5,805,204	9/8/1998	Thompson, et al.	725	48	
	5,644,354	7/1/1997	Thompson, et al.	725	138	
	4,381,522	4/26/1983	Lambert	725	93	
	5,446,490	8/29/1995	Blahut, et al.	725	97	
	5,761,485	6/2/1998	Munyan	345	839	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/22111	6/19/1997	WIPO				
	WO 97/22103	6/19/1997	WIPO				
	WO 97/22063	6/19/1997	WIPO				
	WO 97/22105	6/19/1997	WIPO				
	WO 97/22104	6/19/1997	WIPO				

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**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

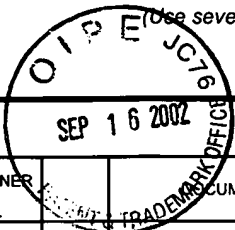
	Henrie van den Boom, "An Interactive Videotex System", Nov. - Dec. 1986, Pages 397-401
	Nicole Yankelovich, et al., "Reading and Writing the Electronic Book", October 1985, Pages 16-30

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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	6,204,885	3/20/2001	Kwoh	348	564	
	5,477,262	12/19/1995	Banker, et al.	725	38	
	5,600,368	2/4/1997	Matthews, III	348	143	
	5,500,794	3/19/1996	Fujita, et al.	700	83	
	5,396,546	3/7/1995	Remillard	379	93.24	
	5,689,663	11/18/1997	Williams	345	721	
	5,206,929	4/27/1993	Langford, et al.	345	723	

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**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
WO 97/22109	6/19/1997	WIPO				
WO 97/22112	6/19/1997	WIPO				
WO 97/22079	6/19/1997	WIPO				
WO 97/22049	6/19/1997	WIPO				
WO 97/22100	6/19/1997	WIPO				

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	Craig Boyle, et al., "A Survey and Classification of Hypertext Documentation Systems", June 1992, Pages 98-111
	Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynamic Web documents", June 1997

EXAMINER	DATE CONSIDERED
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